

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10573334
Filing Date	2006-04-24
First Named Inventor	HAMASHIMA et al.
Art Unit	3621
Examiner Name	
Attorney Docket Number	URBA:003

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/SK/	1	20070076255	A1	2007-04-05	HAMASHIMA et al.	Related Co-pending Application

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/SK/	2	2003-085454	JP	A	2003-03-20	Naoki KOZUKA	English Abstract	<input type="checkbox"/>
/SK/	3	2003-233491	JP	A	2003-08-22	FUJIMOTO et al.	English Abstract	<input type="checkbox"/>

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/SK/	4	2000-215322	JP	A	2000-08-04	TOKIWA et al.	English Abstract	<input type="checkbox"/>
/SK/	5	2002-318421	JP	A	2002-10-31	MAEDA et al.	English Abstract Cited in Specification of Present Application	<input type="checkbox"/>
/SK/	6	2002-046324	JP	A	2002-02-12	ANDO et al.	English Abstract Cited in Specification of Present Application	<input type="checkbox"/>
/SK/	7	2003-242178	JP	A	2003-08-29	Mikio WATANABE	English Abstract	<input type="checkbox"/>
/SK/	8	2003-223347	JP	A	2003-08-08	TERADA et al.	English Abstract	<input type="checkbox"/>
/SK/	9	11-088577	JP	A	1999-03-30	Masahiro KUBO	English Abstract	<input type="checkbox"/>
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/SK/	1	Communication from JPO issued in Priority Document JP2003-333890, dated 02/02/07, citing references brought to the attention of the JPO by a third party.	<input type="checkbox"/>
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/SK/	3	Communication from JPO issued in a priority document of the related co-pending application: JP2003-355220, dated 12/19/06, citing references brought to the JPO's attention by a third party.	<input type="checkbox"/>
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Examiner Signature	/Steven Kau/	Date Considered	06/05/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Marc A. Rossi/	Date (YYYY-MM-DD)	2007-05-16
Name/Print	Marc A. Rossi	Registration Number	31,923

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mitsuhiro HAMASHIMA et al.  
Serial No.: 10/573,334  
Filed: April 25, 2006  
Title: IMAGE OUTPUT APPARATUS  
AND IMAGE OUTPUT METHOD  
Group Art Unit: 3621  
Examiner:  
Attorney Docket No.: URBA:003  
Confirmation No.: 5438

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INFORMATION DISCLOSURE STATEMENT

Sirs:

Pursuant to 37 C.F.R. §1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO/SB/08a. Applicants disclose that some of these references were cited in communications issued as a result of information provided to the Japan Patent Office by a third party for two of the Japanese priority applications. References JP2002-6418, JP 2003-85454 and JP2003-233491 were cited in the Priority application JP 2003-333890 and reference JP 2003-215322 was cited in the Priority application JP 2003-333924.

The Japanese Patent Office communications, citing these references, are herewith provided in the Japanese language. The English translations of these communications are not readily available to the undersigned. It is understood that these communications are not Office Actions and do not reflect the opinion of the Japan Patent Office examiner.

The reference JP 2002-046324, also cited on the attached PTO/SB/08a, was cited in the specification of the present United States application.

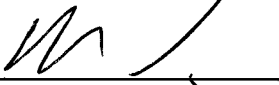
Applicant also discloses the following related co-pending application: SN. 10/576,232 published as US 2007-0076255 A1. The references cited in any Information

Disclosure Statements filed in this related co-pending application are listed on the PTO/SB/08a and copies of the foreign references are submitted herewith.

It is respectfully requested that this information be expressly considered during the prosecution of this application, that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom, and that an initialed copy of Form PTO/SB/08a be returned to the undersigned.

05-16-07  
DATE

Respectfully submitted,  
ROSSI, KIMMS & McDOWELL LLP

  
\_\_\_\_\_  
MARC A. ROSSI  
REG. NO. 31,923

P.O. BOX 826  
ASHBURN, VA 20146-0826  
703-726-6020 (PHONE)  
703-726-6024 (FAX)